



Rev. 09/2014

## FACTS

### WHAT DOES Kane Company Wealth Management, PC (Kane Company) DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and Address
- Investment objectives and financial circumstances, including employment information
- Account balances and account transactions

When you are *no longer* our customer, we continue to share your information as described in this notice.

#### How?

All financial companies need to share **customers'** personal information to run their everyday business. In the section below, we list the reasons financial companies can share their **customers'** personal information; the reasons Kane Company chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Kane Company, P.C. share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
<b>For our marketing purposes—</b> to offer our products and services to you	NO	N/A
<b>For joint marketing with other financial companies</b>	NO	N/A
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	NO	N/A
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	NO	N/A
<b>For nonaffiliates to market to you</b>	NO	N/A

#### Questions?

Call 515-270-2727 or go to [www.KaneCompanyPC.com](http://www.KaneCompanyPC.com)

## Who we are

Who is providing this notice?

Kane Company

## What we do

How does Kane Company protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Kane Company collect my personal information?

We collect your personal information, for example, when you

- Complete Applications or related forms
- Provide it to us in person or over the phone or by email
- Complete transactions with us or others

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- N/A

**Nonaffiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *We disclose information to non-affiliate "service providers" to assist us in providing services to you. In all such situations, we stress the confidential nature of information being shared.*

**Joint marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *We do not sell any information collected by us to any third party.*

## Other important information

Please call if you have any questions, because your privacy, our professional ethics, and the ability to provide you with quality financial services are very important.